



EXCLUSIVE PROFESSIONAL
INVESTMENTS

Form 205W

STOCKS & SHARES JUNIOR ISA APPLICATION FORM

WRAP PLATFORM

Please complete all sections in BLOCK capitals using blue or black ink.

Affiliated Firm Contact Details

Location code

Responsibility code

Affiliated Firm Contact

Raymond James Head Office Use Only

Account reference RK

RAYMOND JAMES®

Willu Limited, your Financial Intermediary, is authorised and regulated by the Financial Conduct Authority

Raymond James Investment Services Limited (Raymond James) is authorised and regulated by the Financial Conduct Authority and is a member of the London Stock Exchange Registered in England and Wales No. 3779657 Registered Office: Broadwalk House 5 Appold Street London EC2A 2AG

Pershing Securities Limited is authorised and regulated by the Financial Conduct Authority and is a member of the London Stock Exchange

STOCKS AND SHARES JUNIOR ISA APPLICATION FORM
WRAP PLATFORM

Account Title

Child's Details

Title

Surname

Forename(s)

Date of birth

Gender

Male Female

Address line 1

Address line 2

Address line 3

Address line 4

City

Postcode

Country

Does the child have a National Insurance number?*

No Yes

If yes, please specify

Is the child a US Person?***

No Yes

What countries is the child resident in for tax purposes?

What country is the child citizen of?

Nationality

Place of birth

Town

Country

* Your National Insurance number can be found on your National Insurance Card, payslip, form P45 or P60, pension order book, or letters from HM Revenue and Customs or Department for Work and Pensions.

*** We have limited options for US Persons. For a definition of US Person please refer to the IRS website:
<http://www.irs.gov/Individuals/International-Taxpayers/Classification-of-Taxpayers-for-U.S.-Tax-Purposes>.

STOCKS AND SHARES JUNIOR ISA APPLICATION FORM
WRAP PLATFORM

Registered Contact *Person who applies to open a Stocks and Shares Junior ISA for the child*

Relationship to child	Mother <input type="checkbox"/>	Father <input type="checkbox"/>	Parental Guardian <input type="checkbox"/>	Local Authority <input type="checkbox"/>	The child has been adopted <input type="checkbox"/>
Title	<input type="text"/>				
Surname	<input type="text"/>				
Forename(s)	<input type="text"/>				
Date of birth	<input type="text" value="DD MM YYYY"/>				
Gender	Male <input type="checkbox"/>	Female <input type="checkbox"/>			
Address line 1	<input type="text"/>				
Address line 2	<input type="text"/>				
Address line 3	<input type="text"/>				
Address line 4	<input type="text"/>				
City	<input type="text"/>				
Postcode	<input type="text"/>				
Country	<input type="text"/>				
Correspondence address <i>if different from above</i>	<input type="text"/>				
Contact telephone numbers Home/Business/Mobile	<input type="text"/>				
Email address	<input type="text"/>				
Do you have a National Ins. no. or Tax Identification no.*?	No <input type="checkbox"/>	Yes <input type="checkbox"/>			
If yes, please specify	<input type="text"/>				
Are you a US Person?***	No <input type="checkbox"/>	Yes <input type="checkbox"/>			
What countries are you resident in for tax purposes?	<input type="text"/>				
What country are you a citizen of?	<input type="text"/>				
Nationality	<input type="text"/>				
Place of birth	Town	<input type="text"/>			
	Country	<input type="text"/>			

* Your National Insurance number can be found on your National Insurance Card, payslip, form P45 or P60, pension order book, or letters from HM Revenue and Customs or Department for Work and Pensions.

** We have limited options for US Persons. For a definition of US Person please refer to the IRS website:
<http://www.irs.gov/Individuals/International-Taxpayers/Classification-of-Taxpayers-for-U.S.-Tax-Purposes>.

STOCKS AND SHARES JUNIOR ISA APPLICATION FORM

WRAP PLATFORM

Subscription

Please indicate how you would like to subscribe to the child's Junior ISA. *Tick one box only*

I enclose a cheque for £ made payable to Pershing Securities Limited.

Please transfer £ from my personal account **RK** to the child's Junior ISA.

Dividends and Interest

Dividends and Interest will be paid to the Junior ISA investment account for reinvestment by your Financial Intermediary.

Income cannot be withdrawn from a Junior ISA.

Reporting

Annual Custody Statement

You will receive an annual Custody Statement which contains a record of your holdings, held in nominee on your behalf by Pershing Securities Limited (Pershing). Pershing sends this statement to you to meet its regulatory requirements as custodian of your assets.

Valuations

Valuations are produced quarterly, half yearly or yearly and are reflected in GBP unless specified otherwise below.

Frequency of Valuations – please specify below

Quarterly (March, June, September, December) Half Yearly (June, December) Yearly (December)

Tick here if you wish to consolidate your valuation with another account holder

Account name Account no. **RK**

Relationship to account

Contract Notes

Raymond James will generate a contract note confirming details of the trade/s executed on your behalf.

If you would prefer for your Financial Intermediary to receive your contract notes on your behalf, please review the following declaration and confirm accordingly.

- I understand:**
- By ticking this box, I am instructing Raymond James to send any contract notes to my Financial Intermediary, as named in the *Raymond James Wrap Platform Terms of Business*, who has agreed to receive these on my behalf.
 - This means that I will not receive contract notes following the execution of any trades placed on my accounts.
 - Should I require copies of these contract notes, these will be available from my Financial Intermediary upon request.

Contract notes will be dispatched to your correspondence address, via first class post. For securities trades, contract notes will be dispatched by the end of business day after the trade has been executed. For fund trades, contract notes are produced following receipt of confirmation from the fund company.

STOCKS AND SHARES JUNIOR ISA APPLICATION FORM
WRAP PLATFORM

Third Party Instructions

I authorise:

- Raymond James to accept any and all instructions, as described in the Acting on Your Instructions section in the *Raymond James Terms of Business*, from any one of the under mentioned parties.

Please tick where appropriate

Dealing Instructions Requests for copies of valuations* and contract notes

If you wish for the third party to be set up to receive copies of any valuation or contract note you receive, please indicate below*

Contract Notes Valuations*

**A charge will be incurred for additional copies of valuations*

Relationship to account		
	First authorised third party	Second authorised third party
Title	<input type="text"/>	<input type="text"/>
Surname	<input type="text"/>	<input type="text"/>
Forename(s)	<input type="text"/>	<input type="text"/>
Address line 1	<input type="text"/>	<input type="text"/>
Address line 2	<input type="text"/>	<input type="text"/>
Address line 3	<input type="text"/>	<input type="text"/>
Address line 4	<input type="text"/>	<input type="text"/>
City	<input type="text"/>	<input type="text"/>
Postcode	<input type="text"/>	<input type="text"/>
Country	<input type="text"/>	<input type="text"/>
Contact telephone numbers Home/Business/Mobile	<input type="text"/>	<input type="text"/>
Email address	<input type="text"/>	<input type="text"/>

By completing and signing this part of the form:

- I provide my consent for Raymond James to validate my personal identification and verify my address internally or through a third party external firm providing the services electronically to Raymond James.

I agree:

- For Raymond James to obtain a report on me for anti-money laundering and fraud prevention purposes.

Signature of authorised third party

Date

Please note that as per the *Raymond James Wrap Platform Terms of Business*, Raymond James reserves the right, in its absolute discretion, whether to deal with and accept instructions from the aforementioned third parties.

STOCKS AND SHARES JUNIOR ISA APPLICATION FORM

WRAP PLATFORM

Declaration

I declare that:

- I am aged 18 or over and have parental responsibility for the child named in this application form.
- I will be the Registered Contact for this Junior ISA.
- The child named in this application does not hold a Child Trust Fund.
- The child named in this application is either a resident in the UK, a Crown servant, married to or in a civil partnership with a UK Crown servant, or a dependent of a UK Crown servant.
- The child named in this application will be the beneficial owner of the investments held in the Junior ISA.
- I have not subscribed to another Stocks and Shares Junior ISA for the child named in this application, and I am not aware of any other Stocks and Shares Junior ISA for the child.
- I will not knowingly make subscriptions that will result in the annual subscription limit being breached.
- I am not aware that the child named in this application is a US person at the time of this subscription.
- Subscriptions are made as a gift to the child named in this application and cannot be repaid to the subscriber.
- All investments I have made and will make, belong to the child named in this application.

I authorise Raymond James:

- To hold the child's subscriptions, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash, and
- To make on the child's behalf any claims to relief from tax in respect of JISA requirements.

I declare:

- I have read this form and to the best of my knowledge, the information I have provided is correct.
- I agree to notify my Financial Intermediary of any significant or material changes.

Before signing below:

- I understand the importance of me reading and understanding the *Raymond James Wrap Platform Terms of Business* and the relevant section detailing the costs associated to Raymond James's services in my Financial Intermediary's relevant document(s), which together with this Junior ISA Application Form, will comprise the formal agreement between Raymond James and me.
- I also understand the importance of reading, understanding and consenting to the *Raymond James Execution Policy* on which I have been given information.
- I accept that if I do not understand any points in any of the documents referred to in this paragraph, or have any questions, I will ask for further information before signing.

By completing and signing this form:

- I provide my consent for Raymond James to validate my personal identification and verify my address internally or through a third party external firm providing the services electronically to Raymond James.
 - I also agree for Raymond James to obtain a report on me for anti-money laundering and fraud prevention purposes.
-

Registered Contact

Full name *Please print*

Signature

Date

Financial Intermediary Contact

I declare:

- I have read this form and confirm it has been completed and the information provided is correct to the best of my knowledge.
- I agree to notify Raymond James of any significant or material changes.

Full name *Please print*

Signature

Date